

PRE/LSH/WJD

58478 WO (50420)

10/543083

JC12 Rec'd PCT/PTC 21 JUL 2005



CORRECTED
NOTICE

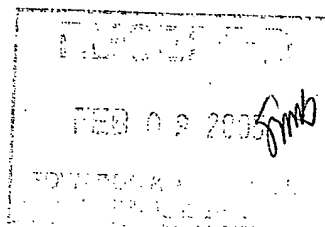
UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 27, 2005

PTAS

EDWARDS & ANGELL, LLP
WILLIAM J. DALEY, JR.
P.O. BOX 55874
BOSTON, MA 02205



NOTED ON SYSTEM

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/25/2004

REEL/FRAME: 015498/0446

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HUMAYUN, MARK

DOC DATE: 05/05/2004

ASSIGNOR:

DE JUAN, EUGENE

DOC DATE: 05/05/2004

ASSIGNEE:

DOHENY RETINA INSTITUTE
1450 SAN PABLO STREET
DEI-3622
LOS ANGELES, CALIFORNIA 90033-3699

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US0402214

TITLE:

FILING DATE:

ISSUE DATE:

Corrected Assign. Due
Edwards & Angell LLP

101 Federal St. Boston, MA 02110

Docketed For *2/11/05 - 2/26/05*

By *SPB*

Approved _____

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DOROTHY WILLIAMS, PARALEGAL
ASSIGNMENT DIVISION
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J-29-2004

JC12 d PCT/PTC 21 JUL 2005

Attorney Docket No.: 58478 PCT (50420)

FORM PTO-1595
(Rev. 6-93)

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark Humayun
Eugene De Juan

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Doheny Retina Institute

Address: 1450 San Pablo Street
DEI-3622
Los Angeles, CA 90033-3699

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Execution Date: May 5, 2004

4. Application number(s) or patent number(s): PCT/US2004/002214

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William J. Daley, Jr. (Reg. No.: 35,487)
Street Address: EDWARDS & ANGELL, LLP
P.O. Box 55874
City: Boston State: MA Zip: 02205

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41):\$40.00

☒ Authorized to be charged to deposit account for additional or excess fees.8. Deposit account number: 04-1105

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William J. Daley, Jr., Reg. No. 35,487
Name of Person Signing

 Signature
June 22, 2004
Date

Total number of pages including cover sheet, attachments, and documents: 3

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 2004 JUN 25 PM 4:13
 FINANCE SECTION

 05/28/2004 LMUELLER 00000059 PCT/US04/002214
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ASSIGNMENT

WE, Mark Humayun and Eugene De Juan, for good and valuable consideration from Doheny Retina Institute, having its principal place of business at 1450 San Pablo Street, DEI-3622, Los Angeles, CA 90033-3699 hereinafter called the Assignee, receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title and interest in, to and under an application for Letters Patent of the United States Serial No. PCT/US2004/002214 filed on January 26, 2004 for improvements in the RESERVOIRS WITH SUBRETINAL CANNULA FOR SUBRETINAL DRUG DELIVERY priority rights ensuing therefrom, and the inventions and any of them therein set forth and described, in any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor, together with the right to apply for such Letters Patent.

And for the above consideration WE agree promptly upon request of the Assignee, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, divisional, continuation or reissue, or other papers which may be necessary or desirable fully to secure to the Assignee, its successors and assigns, the inventions and any of them described in said application and all patent rights therein, in the United States and in any country foreign thereto.

The undersigned agree(s) to furnish all pertinent facts and documents relating to said application, said invention and said patent(s) as may be known and accessible to the undersigned, and will testify as to the same in any interference or litigation related thereto, and will promptly execute and deliver to Assignee any and all papers, instruments or affidavits necessary or desirable to apply for, obtain, maintain or enforce said application or said patent(s) in connection with any interference which may be declared, and any litigation concerning this application or any continuation, division or reissue thereof or Patent(s) or reissue patent(s) issued thereon, and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference or litigation.

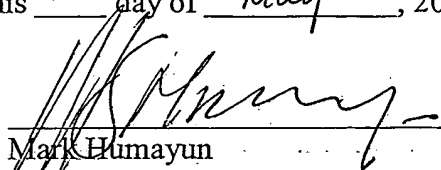
The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner for Patents to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he or she has the full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grants Edwards & Angell, LLP of P.O. Box 55874, Boston, MA 02205, U.S.A. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark, Office for recordation of this document.

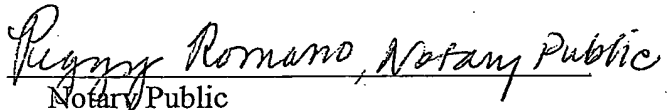
I, Mark Humayun, hereunto set my hand and seal this 5th day of May, 2004.

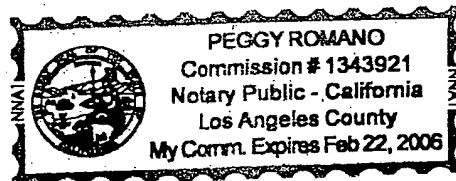

Mark Humayun

Commonwealth [State] of California

County of Los Angeles ss.

Before me this 5th day of May, 2004, personally appeared Mark Humayun, who is to me personally known, and acknowledged the foregoing instrument of assignment to be his free act and deed.


Notary Public



I, Eugene De Juan, hereunto set my hand and seal this 5th day of May, 2004.

Eugene De Juan
Eugene De Juan

Commonwealth [State] of California

County of Los Angeles ss.

Before me this 5th day of May, 2004, personally appeared Eugene De Juan, who is to me personally known, and acknowledged the foregoing instrument of assignment to be his free act and deed.

Peggy Romano, Notary Public
Notary Public

